An Empirical Study on Robustness to Spurious Correlations using Pre-trained Language Models

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# Motivation

Models achieve high accuracy on benchmarks, however, perform poorly on the challenging datasets  $_{[\rm McCoy\ et\ al.,\ 2019]}$  .



- Spurious correlations is learned.
- How to improve robustness to spurious correlations?

# NLI

Representative example from MNLI [Williams et al., 2017] P: The doctor mentioned the manager who ran. H: The doctor mentioned the manager entailment

Representative example from HANS [McCoy et al., 2019]

- P: The actors who advised The manager saw the tourists.
- H: The manager saw the tourists

non-entailment!

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Representative example from QQP [lyer et al., 2017] :
S1: Bangkok vs Shanghai?
S2: Shanghai vs Bangkok?
paraphrase
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Representative example from PAWS<sub>QQP</sub> [Zhang et al., 2017] : S1: Are all dogs smart or can some be dumb? S2: Are all dogs dumb or can some be smart? non-paraphrase!

Word overlap-based heuristic that works for training examples **fails** on the test data

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# Pre-training Improve Robust Accuracy

Recently, people find pre-training improve robustness. [Hendrycks et al. (2019, 2020); Li et al. (2019)]

However, could we answer the following questions?

- What role does longer fine-tuning play?
  - Minority examples require longer fine-tuning.
- How do pre-trained models generalize to out-of-distribution data?
  - Minority patterns in the training set
- When do they generalize well given the inconsistent improvements?
  - Different minority patterns may require varying amounts of training data

# What Role does Longer Fine-tuning Play?

We observe longer fine-tuning:

- in-distribution accuracy saturates quickly
- improves accuracy on challenging examples

Hypothesis: minority examples require longer fine-tuning.

### Experimental Details

Tasks: NLI Setting: fine-tuning pre-trained models Metric: training loss and dev set accuracy

# What Role does Longer Fine-tuning Play?



Training loss of minority examples decreases more slowly!

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# What Role does Longer Fine-tuning Play?



minority examples: epoch 10; all examples: epoch 5.

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# How do pre-trained models generalize to out-of-distribution data?

Do pre-trained model enable extrapolation to unseen patterns? no

Hypothesis: pre-trained models generalize better from minority patterns in the training set.

Representative minority example:

"fly from Chicago to New York" vs. "fly from New York to Chicago"

### **Experimental Details**

Task: MNLI

Setting: remove minority (727) only vs. randomly in MNLI training set Metric: accuracy on the challenging dataset (HANS)

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Removing high overlap examples have significantly worse accuracy

# When do They Generalize Well Given the Inconsistent Improvements?

Previously we find fine-tuning makes the different improvement on two tasks: NLI and PI.

Why?

Hypothesis: PAWS have syntactically more complex sentences!

#### **Experimental Details**

Tasks: NLI and PI Setting: fine-tuning pre-trained models on the challenging datasets directly Metric: accuracy on the challenging dataset

## Experimental Details

Fine-tuning pre-trained models on the challenging datasets directly.



PAWS contains longer and syntactically more complex sentences

Length: 20.7 (PAWS) VS. 9.2 (HANS) parse tree height: 11.4 (PAWS) VS. 7.5 (HANS)

Different minority patterns may require varying amounts of training data \_\_\_\_

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# Multi-task Learning

Increasing the amount of minority examples helps to improve model robustness. How to improve robustness further?

Aggregating generic data from various sources through multi-task learning.





MTL improves robust accuracy and do not hurt in-distribution performance.

How to explain the improvement?

- Challenging data in Auxiliary datasets? No
- MTL reduces sample complexity ? Yes

## Two Ablation Studies

- Ablation Study 1: removing auxiliary datasets
- Ablation Study 2: remove minority examples from both the auxiliary and the target datasets

# Ablation Study1

## Setting

Target dataset: QQP Auxiliary datasets: HANS (challenging dataset) + MNLI + SNLI remove auxiliary datasets one by one

Removed	PAWS <sub>QQP</sub>	Δ
None	45.9	-
HANS	45.3	-0.6
MNLI	42.3	-3.6
SNLI	44.2	-1.7

The challenging datasets are not much more helpful than benchmark datasets

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# Ablation Study2

## Setting

Target dataset: QQP Auxiliary dataset: MNLI Remove minority examples from both the auxiliary and the target datasets

Removed	PAWS <sub>QQP</sub>	Δ
None	45.9	-
random examples		
QQP	44.3	-1.6
MNLI	45.0	-0.9
minority examples		
QQP	38.2	-7.7
MNLI	44.3	-1.6

Improved generalization is from minority examples.

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# Conclusions

- Analysis of robustness using pre-trained language models
- Generalization is from a small amount of minority examples.
- More pre-training data, larger model size, and additional auxiliary data can improve robustness

Suggestion to Future Directions

Importance of data diversity

Traditional techniques could still helpful.

## Thanks!



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